

#4  
Express Mail No.  
EF230793454US



PATENTS  
CF/040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.  
Application No. : 09/981,565  
Confirmation No. : 7706  
Filed : October 17, 2001  
For : SYSTEMS AND METHODS FOR BID/OFFER  
SPREAD TRADING  
Group Art Unit : 2164

New York, New York 10020  
June 20, 2002

Box MISSING PARTS  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

REPLY TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION

Sir:

In reply to the Notice To File Missing Parts of  
Nonprovisional Application mailed November 20, 2001,  
applicants hereby submit the following:

1. A copy of the November 20, 2001 Notice To File  
Missing Parts Of Nonprovisional Application;
2. One partially executed Declaration And Power Of  
Attorney;
3. A Petition For Filing When An Inventor's Legal  
Representative Refuses To Sign Pursuant To 37 C.F.R. § 1.47  
and a file copy of a January 15, 2002 letter to the legal  
representative;

4. A Last Known Address Of Nonsigning Legal Representative Of Deceased Inventor Pursuant to 37 C.F.R. § 1.47;

5. A check in the amount of \$ 130.00 in payment of the fee set forth in 37 C.F.R. § 1.17(h);

6. A check in the amount of \$ 130.00 in payment of the surcharge set forth in 37 C.F.R. § 1.16(e);

7. A Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a); and

8. A check in the amount of \$ 1,960.00 in payment of the 5-month extension fee set forth in 37 C.F.R. § 1.17(a)(5).

Please charge any underpayment, or credit any overpayment, in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this Reply is enclosed.

Respectfully submitted,

Evelyn C. Mak

Evelyn C. Mak  
Registration No. 50,492  
Agent for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000



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EXPRESS MAIL CERTIFICATION

EXPRESS MAIL LABEL NO. EF230793454US

Date of Deposit: June 20, 2002.

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

  
Lillian Garcia

Enclosures:

REPLY TO NOTICE TO FILE MISSING PARTS  
COPY OF NOVEMBER 20, 2001 NOTICE TO FILE MISSING PARTS  
PARTIALLY EXECUTED DECLARATION AND POWER OF ATTORNEY  
PETITION FOR FILING WHEN AN INVENTOR'S LEGAL  
REPRESENTATIVE REFUSES TO SIGN  
COPY OF LETTER TO LEGAL REPRESENTATIVE  
LAST KNOWN ADDRESS OF NONSIGNING LEGAL REPRESENTATIVE  
PETITION FOR EXTENSION OF TIME  
CHECKS IN THE AMOUNT OF \$ 130.00, \$ 130.00, AND  
\$ 1,960.00  
RETURN POSTCARD